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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Onder the	UTILITY PATENT APPLICATION TRANSMITTAL	<u> </u>
	(Only for new nonprovisional applications under 37 CFR 1.53(b))	Ø
Attorney Docket N	lo. <u>004411.P004</u>	<b>ວ</b> ົ
	ntor Roni Korenshtein	000
	Sub-Component Prerequisite Control Mechanism	
Express Mail Lab	el No. EL 845313694 US	
ADDRESS TO:	Assistant Commissioner for Patents Box Patent Application Washington, D. C. 20231	
APPLICATION E See MPEP chap	LEMENTS ter 600 concerning utility patent application contents.	
	Fee Transmittal Form (e.g., PTO/SB/17) Submit an original, and a duplicate for fee processing)	

Applicant Claims Small Entity Status. (37 CFR 1.27) Specification (Total Pages \_\_\_\_ (preferred arrangement set forth below) 3. - Descriptive Title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference sequence listing, a table, or a computer program listing appendix - Background of the Invention

		<ul> <li>Brief Summary of the Invention</li> <li>Brief Description of the Drawings (if filed)</li> <li>Detailed Description</li> <li>Claim(s)</li> <li>Abstract of the Disclosure</li> </ul>						
4.	<u> </u>	Drawings(s) (35 USC 113) (Total Sheets <u>20</u> )						
5.	<u> </u>	Oath or Declaration (Total Pages _5_)						
		a Newly Executed (Original or Copy)						
		b Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed)						
		<ul> <li>i <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul>						
		c. X Unsigned.						
6.		Application Data Sheet. (37 CFR 1.76)						
7.		CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)						
8.	(if applicable	Nucleotide and/or Amino Acid Sequence Submission e, all necessary) Computer Readable Form (CRF)						
	b	Specification Sequence Listing on: iCD-ROM or CD-R (2 copies); or ii paper						
1	C	Statement verifying identity of above copies						

Docket No. 004422.P004 Express Mail No. EL 845313694 US

**ACCOMPANYING APPLICATION PARTS** 

	<b>9.</b> 10.		Assignment Papers (cover sheet & documents(s))  a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)						
		X	b. Power of Attorney						
	11.		English Translation Document (if applicable)						
	12.		a. Information Disclosure Statement (IDS)/PTO-1449						
			b. Copies of IDS Citations						
	13.		Preliminary Amendment						
	14.	<u>x</u>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)						
	15.	<del></del>	Certified Copy of Priority Document(s) (if foreign priority is claimed)						
	16.	$\times$	Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.						
	17.	<u>x</u>							
	18A.	If a CON	TINUING APPLICATION, check appropriate box and supply the requisite information:						
ďμ ψμ .	Of	Co Prior Appl	ntinuation Divisional Continuation-in-part (CIP) cation No.: Examiner Group Art Unit						
	(which	h is a o h is a o	continuation/ divisional/ CIP of prior application no, continuation/ divisional/ CIP of prior application no) (List entire chain of priority)						
Truly them them	an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only								
<b>4</b>		-	when a portion has been inadvertently omitted from the submitted application parts.						
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) 2			the entire right, title, and interest in the accompanying patent application by virtue of an orded in the Patent and Trademark Office at Reel. No Frame No						
į	(or a	copy of wl	nich is attached).						
ä	19.	Corres	pondence Address						
		_ Custor	ner Number or Bar Code Label or (Insert Customer No. or Attach Bar Code Label here)						
	_x_	_ Corres	or (Insert Customer No. or Attach Bar Code Label here) pondence Address Below						
	NAM	E Mic	hael A. DeSanctis						
		BLA	AKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP						
	ADDI	RESS _	12400 Wilshire Boulevard						
		-	Seventh Floor						
	CITY	Los Ang	eles STATE California ZIP CODE 90025-1026						
	Coun	ntry <u>U.</u> :	S.A. TELEPHONE (303) 740-1980 FAX (303) 740-6962						
	Name	e (PRINT <i>J</i> r	YPEY: A Michael A. DeSanctis Registration No.: 39,957						
	l .	•	Date: 4/3/01						
	Signa	ature	Jale. 1757						

PTO/SB/35(11-00)
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REQUEST AND CERTIFICATION UN	DER 35 U.S.C. 122(b)(2)(B)(i)
First Named Inventor Roni Korenshtein Title A Page Sub-Component Prerequisite Control Mechanis	sm
Attorney Docket No. <u>004411.P004</u>	
I hereby certify that the invention disclosed in the attached of an application filed in another country, or under a multilate eighteen months after filing. I hereby request that the attaut.S.C. 122(b).  U.S.C. 122(b).  Date	ateral agreement, that requires publication at
This request must be signed in compliance with 37 CFR 1 filing.	.33(b) and submitted with the application <b>upon</b>
Applicant may rescind this nonpublication request at any tiapplication not be published under 35 U.S.C. 122(b), the a eighteen months after the earliest claimed filing date for w	pplication will be scheduled for publication at
If applicant subsequently files an application directed to the in another country, or under a multilateral international agreighteen months after filing, the applicant <b>must</b> notify the	eement, that requires publication of applications

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231

such filing within forty-five (45) days after the date of filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).



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	FEE TRANSMITTAL FOR FY 2001							
				TOTAL AMOUNT OF PAYMENT (	\$) <u>      \$</u>	790.00		
	Complete if Known:							
	Application No. Unknown Filing Date Concurrently Herewith							
	First Named Inventor Roni Korenshtein							
Group	Art Unit	Unkno	own		_			
	iner Nam		own	201	<u>—</u>			
Attorn	ey Docke	t No0	04411.P	004				
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	[ x ]	Charge	e Any Ad	dditional Fee Required Under 37 (	CFR 1.16 and	d 1.17		
	[ ]	Applic	ant clair	ns small entity status. See 37 CF	R 1.27			
2.	<u> </u>	Payme	nt Encl					
				Credit Card Money Order				
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FEE	CALCUL	.ATION		····				
1.	BASIC	FILING F	EE	·				
Large	Entity	Small I	Entity					
Fee	Fee	Fee	Fee					
Code	( ' /	Code	(\$)	Fee Description			Fee Paid	
101 106	710 320	201 206	355 160	Utility application filing fee Design application filing fee			<u>\$ 710.00</u>	
107	490	207	245	Plant filing fee				
108	710	208	355	Reissue filing fee				
114	150	214	75	Provisional application filing fe	ee			
					CHE	TOTAL (1) \$_	710.00	
					305	101AL (1) \$_	710.00	
2.	EXTRA	CLAIM F	EES			Fee from		
				Extra Claims		below	<u>Fee Paid</u>	
Total	Claims	19		<b>-20**</b> = <u>0</u>	X	\$ 18.00 =	: <u>\$ -0-</u>	
Indep	endent	Claims_	4	- 3** = <u>1</u>	X	\$ 80.00 =	\$ 80.00	
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				, if greater; For Reissues, see	below.			
<u>Large</u> Fee	Entity Fee	<u>Small I</u> Fee	Entity Fee					
Code		Code	(\$)	Fee Description				
103	18	203	`°9	Claims in excess of 20				
102	80	202	40	Independent claims in excess of	f 3			
104	270	204	135	Multiple dependent claim, if not				
109	80	209	40	**Reissue independent claims o				
110	18	210	9	**Reissue claims in excess of 20	and over o	riginai patent		
					su	JBTOTAL (2)	\$ 80.00	
FEE 4	CALCIII	ATION (	continu	ied)				

3.	ADDITIO	NAL FEE			
Large	Large Entity Small Entity				
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	Fee Description Fee Paid	
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee	
				or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to	
				Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after	
	•		•	Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per	
				property (times number of properties)	
146	710	246	355	For filing a submission after final rejection	
				(see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined	
				(see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design	
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Other	fee (specif	fy) _		<del>_</del>	
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Туре	d or Printe	ed Name;	/	Michael A DeSanctis	
Signa	iture:		<u>~</u>	<u>VVII</u> Date: <u>9/3/0/</u>	
Reg.	Number:	39,9	<u>57</u>	Telephone Number: (303) 740-1980	

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Name of Person Mailing Correspondence: Fran C. Rolfsen

Signature

4-4-01 Date

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96	<b>**</b>
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Serial/Patent No.: Unknown Client: E*Trade	Filing/Issue Date: _	Concurrently
Title: A Page Sub-Component I	Prerequisite	-Control Mechanism
BSTZ File No.: 004411, P004  Date Mailed: 4-4-0  The following has been received in the U.S. Paten	Atty/Secty Initials: Docket Due Date:  & Trademark Office Express Mail No.: ELS YC	MAD/fr on the date stamped hereon: 031367/VS Check No. 1380 Am: \$790.00 Check No. Am:  Am:  Pgs.)  Pgs.)  Parts adep. Inventor/Small Business
Other:		;

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Cupril 4, 2001
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